

***Penalty for presenting fraudulent claim is a fine of up to \$500,000 or imprisonment for up to 5 years, or both. 18 U.S.C. §§ 152 AND 3571***

MR

PROOF OF INTEREST SUPPLEMENTAL INFORMATION:

CASE: 24-50792, META MATERIALS, INC.

FOR: Brendan Russell

BROKER: Charles Schwab

ACCT #: 5686-4828

TRANSACTIONS [Pre-Reverse Split]:

Between 07/19/2021 and 11/10/2022 Purchased 30,000 shares \$1.585/share [\$47,555.63 total invested]

07/19/2021 Purchased 300 shares \$3.515/share [\$1,054.50 invested]

08/23/2021 Purchased 100 shares \$3.249/share [\$324.90 invested]

08/24/2021 Purchased 30 shares \$3.4685/share [\$104.06 invested]

08/25/2021 Purchased 270 shares \$3.675/share [\$992.25 invested]

08/25/2021 Purchased 500 shares \$3.693/share [\$1,846.50 invested]

08/27/2021 Purchased 1,500 shares \$4.2799/share [\$6,419.85 invested]

08/30/2021 Purchased 500 shares \$4.5499/share [\$2,274.95 invested]

09/08/2021 Purchased 500 shares \$5.0169/share [\$2,508.45 invested]

02/07/2022 Purchased 200 shares \$1.69/share [\$338.00 invested]

02/10/2022 Purchased 800 shares \$1.8994/share [\$1,519.52 invested]

05/12/2022 Purchased 1,000 shares \$1.1995/share [\$1,199.50 invested]

05/18/2022 Purchased 1,000 shares \$1.6669/share [\$1,666.83 invested]

10/27/2022 Purchased 3,000 shares \$1.015/share [\$3,045.00 invested]

11/01/2022 Purchased 3,600 shares \$1.125/share [\$4,050.00 invested]

11/01/2022 Purchased 700 shares \$1.1275/share [\$789.25 invested]

11/01/2022 Purchased 5,700 shares \$1.13/share [\$6,441.00 invested]

11/10/2022 Purchased 10,000 shares \$1.2981/share [\$12,981.00 invested]

\*\*See Transaction documentation attached

01/20/2023 Transfer 30,000 shares \$1.05/share [\$31,500 total invested] to the following account:

FOR: Brendan Russell

BROKER: Fidelity

ACCT #: 249015060

TOTAL SHARES HELD PRE-REVERSE SPLIT: 30,000 shares [10 SHARES POST REVERSE SPLIT]

TOTAL MONIES INVESTED PRE-REVERSE SPLIT: \$47,555.63

TRANSACTIONS [Post-Reverse Split 1/100]:

TOTAL SHARES HELD POST-REVERSE SPLIT: 300

08/09/2024 Sold 300 shares \$0.84/share [\$252.00 Total]

\*\*See Transaction documentation attached

Total Loss \$47,555.63 - \$252.00 = \$47,303.63

**Transaction History for Rollover IRA ...828****Transactions found from 12/03/2020 to 12/03/2024**

Date ▼	Action	Symbol / Description	Quantity	Price	Fees & Comm	Amount
01/20/2023	Security Transfer	MMAT META MATLS INC	-30,000			
11/10/2022	Buy	MMAT META MATLS INC	10,000	\$1.2981		-\$12,981.00
11/01/2022	Buy	MMAT META MATLS INC	3,100	\$1.13		-\$3,503.00
11/01/2022	Buy	MMAT META MATLS INC	785	\$1.13		-\$887.05
11/01/2022	Buy	MMAT META MATLS INC	15	\$1.13		-\$16.95
11/01/2022	Buy	MMAT META MATLS INC	100	\$1.13		-\$113.00
11/01/2022	Buy	MMAT META MATLS INC	400	\$1.13		-\$452.00
11/01/2022	Buy	MMAT META MATLS INC	200	\$1.13		-\$226.00
11/01/2022	Buy	MMAT META MATLS INC	100	\$1.13		-\$113.00
11/01/2022	Buy	MMAT META MATLS INC	150	\$1.13		-\$169.50

Date ▼	Action	Symbol / Description	Quantity	Price	Fees & Comm	Amount
11/01/2022	Buy	MMAT META MATLS INC	300	\$1.13		-\$339.00
11/01/2022	Buy	MMAT META MATLS INC	150	\$1.13		-\$169.50
11/01/2022	Buy	MMAT META MATLS INC	100	\$1.13		-\$113.00
11/01/2022	Buy	MMAT META MATLS INC	100	\$1.13		-\$113.00
11/01/2022	Buy	MMAT META MATLS INC	100	\$1.13		-\$113.00
11/01/2022	Buy	MMAT META MATLS INC	100	\$1.13		-\$113.00
11/01/2022	Buy	MMAT META MATLS INC	700	\$1.1275		-\$789.25
11/01/2022	Buy	MMAT META MATLS INC	400	\$1.125		-\$450.00
11/01/2022	Buy	MMAT META MATLS INC	600	\$1.125		-\$675.00
11/01/2022	Buy	MMAT META MATLS INC	400	\$1.125		-\$450.00
11/01/2022	Buy	MMAT META MATLS INC	300	\$1.125		-\$337.50

Date ▼	Action	Symbol / Description	Quantity	Price	Fees & Comm	Amount
11/01/2022	Buy	MMAT META MATLS INC	700	\$1.125		-\$787.50
11/01/2022	Buy	MMAT META MATLS INC	200	\$1.125		-\$225.00
11/01/2022	Buy	MMAT META MATLS INC	1,000	\$1.125		-\$1,125.00
10/27/2022	Buy	MMAT META MATLS INC	3,000	\$1.015		-\$3,045.00
05/18/2022	Buy	MMAT META MATLS INC	700	\$1.6669		-\$1,166.83
05/18/2022	Buy	MMAT META MATLS INC	300	\$1.6669		-\$500.07
05/12/2022	Buy	MMAT META MATLS INC	1,000	\$1.1995		-\$1,199.50
02/10/2022	Buy	MMAT META MATLS INC	800	\$1.8994		-\$1,519.52
02/07/2022	Buy	MMAT META MATLS INC	200	\$1.69		-\$338.00
09/08/2021	Buy	MMAT META MATLS INC	500	\$5.0169		-\$2,508.45
08/30/2021	Buy	MMAT META MATLS INC	500	\$4.5499		-\$2,274.95

Date ▼	Action	Symbol / Description	Quantity	Price	Fees & Comm	Amount
08/27/2021	Buy	MMAT META MATLS INC	1,500	\$4.2799		-\$6,419.85
08/25/2021	Buy	MMAT META MATLS INC	500	\$3.693		-\$1,846.50
08/25/2021	Buy	MMAT META MATLS INC	270	\$3.675		-\$992.25
08/24/2021	Buy	MMAT META MATLS INC	30	\$3.4685		-\$104.06
08/23/2021	Buy	MMAT META MATLS INC	100	\$3.249		-\$324.90
07/19/2021	Buy	MMAT META MATLS INC	300	\$3.515		-\$1,054.50
06/29/2021	Reverse Split	MMAT META MATLS INC	300			

Page Total: **-\$47,555.63**

Intra-day transactions are subject to change.

Brokerage Account Balances are from the previous market close, but also may include new activity that will be posted to your account at after market close. Balances are subject to change.

Bank sweep information can be found on your Statements. Current Balance bank sweep information can be found on the Balances page.

(1123-3U5Y, 0924-CLCV)

**Brokerage Products: Not FDIC Insured • No Bank Guarantee • May Lose Value**

Account: ...828  
Today's Date: 08:48 AM ET, 12/03/2024

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Rollover IRA of

BRENDAN D RUSSELL  
CHARLES SCHWAB & CO INC CUST  
IRA ROLLOVER

Statement Period  
November 1-30, 2024

Asset Allocation

Investment Objective: Capital Preservation	This Period	Current Allocation
Cash and Cash Investments	0.06	100%
<b>Total</b>	<b>\$0.06</b>	<b>100%</b>

Retirement Details

Contributions	2023	2024
<b>Total YTD (\$)</b>	<b>0.00</b>	<b>0.00</b>

Gain or (Loss) Summary

	All Positions		
	Gain	(Loss)	Net
This Period	0.00	0.00	0.00
YTD	0.00	0.00	0.00
<b>Unrealized</b>			<b>N/A</b>

Values may not reflect all of your gains/losses; Schwab has provided accurate gain and loss information wherever possible for most investments. Cost basis may be incomplete or unavailable for some of your holdings and may change or be adjusted in certain cases. Please login to your account at Schwab.com for real-time gain/loss information. Statement information should not be used for tax preparation, instead refer to official tax documents. For additional information refer to Terms and Conditions.

Positions - Summary

Beginning Value as of 11/01	Transfer of Securities(In/Out)	Dividends Reinvested	Cash Activity	Change in Market Value	Ending Value as of 11/30	Cost Basis	Unrealized Gain/(Loss)
\$0.06	\$0.00	\$0.00	\$0.00	\$0.00	\$0.06	\$17,169.50	N/A

Values may not reflect all of your gains/losses; Schwab has provided accurate gain and loss information wherever possible for most investments. Cost basis may be incomplete or unavailable for some of your holdings and may change or be adjusted in certain cases. Statement information should not be used for tax preparation, instead refer to official tax documents. For additional information refer to Terms and Conditions.

Cash and Cash Investments

Type	Symbol	Description	Quantity	Price(\$)	Beginning Balance(\$)	Ending Balance(\$)	Change in Period Balance(\$)	Pending/Unsettled Cash(\$)	Interest/ Yield Rate	% of Acct
Cash					0.06	0.06	0.00	0.00		100%
<b>Total Cash and Cash Investments</b>					<b>\$0.06</b>	<b>\$0.06</b>	<b>\$0.00</b>			<b>100%</b>





## Rollover IRA of

BRENDAN D RUSSELL  
CHARLES SCHWAB & CO INC CUST  
IRA ROLLOVER

Statement Period  
November 1-30, 2024

## Positions - Unpriced Securities

Symbol	Description	Quantity	Price(\$)	Market Value(\$)	Cost Basis(\$)	Unrealized Gain/(Loss)(\$)	Est. Yield	Est. Annual Income(\$)
	NEXT BRIDGE HYDROCARBONS	3,000.0000			17,169.50	N/A		
<b>Total Unpriced Securities</b>					<b>\$0.00</b>	<b>\$17,169.50</b>	<b>\$0.00</b>	<b>\$0.00</b>

## Transactions - Summary

Beginning Cash* as of 11/01	+	Deposits	+	Withdrawals	+	Purchases	+	Sales/Redemptions	+	Dividends/Interest	+	Expenses	=	Ending Cash* as of 11/30
\$0.06		\$0.00		\$0.00		\$0.00		\$0.00		\$0.00		\$0.00		\$0.06

### Other Activity \$0.00

Other activity includes transactions which don't affect the cash balance such as stock transfers, splits, etc.

\*Cash (includes any cash debit balance) held in your account plus the value of any cash invested in a sweep money fund.

## Endnotes For Your Account

W Excluding unpriced securities (see Investment Detail).

## Terms and Conditions

**GENERAL INFORMATION AND KEY TERMS:** This Account statement is furnished solely by Charles Schwab & Co., Inc. ("Schwab") for your Account at Schwab ("Account"). Unless otherwise defined herein, capitalized terms have the same meanings as in your Account Agreement. If you receive any other communication from any source other than Schwab which purports to represent your holdings at Schwab (including balances held at a Depository Institution) you should verify its content with this statement.

**Accrued Income:** Accrued Income is the sum of the total accrued interest and/or accrued dividends on positions held in your Account, but the interest and/or dividends have not been received into your Account. Schwab makes no representation that the amounts shown (or any other amount) will be received. Accrued amounts are not covered by SIPC account protection until actually received and held in the Account. **AIP (Automatic Investment Plan) Customers:** Schwab receives remuneration in connection with certain transactions effected through Schwab. If you participate in a systematic investment program through Schwab, the additional information normally detailed on a trade confirmation will be provided upon request.

**Average Daily Balance:** Average daily composite of all cash balances that earn interest and all loans from Schwab that are charged interest. **Bank Sweep and Bank Sweep for Benefit Plans Features:** Schwab acts as your agent and custodian in establishing and maintaining your Deposit Account(s) as a feature of your brokerage Account(s). Deposit accounts held through these bank sweep features constitute direct obligations of one or more FDIC insured banks ("Program Banks") that are not obligations of Schwab. Funds swept to Program Banks are eligible for deposit insurance from the FDIC up to the applicable limits for each bank for funds held in the same insurable capacity. The balance in the Deposit Accounts can be withdrawn on your order and the proceeds returned to your brokerage Account or remitted to you as provided

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## Rollover IRA of

BRENDAN D RUSSELL  
CHARLES SCHWAB & CO INC CUST  
IRA ROLLOVER

Statement Period

November 1-30, 2024

## Terms and Conditions (continued)

current month. For the Bank Sweep and Bank Sweep for Benefit Plans features, interest accrues daily from the 16th day of the prior month and is credited/posted on the first business day after the 15th of the current month. If, on any given day, the interest that Schwab calculates for the Free Credit Balances in the Schwab One Interest feature in your brokerage account is less than \$0.05, you will not accrue any interest on that day. For balances held at banks affiliated with Schwab in the Bank Sweep and Bank Sweep for Benefit Plans features, interest will accrue even if the amount is less than \$0.05. **Margin Account Customers:** This is a combined statement of your margin account and special memorandum account maintained for you under Section 220.5 of Regulation T issued by the Board of Governors of the Federal Reserve System. The permanent record of the separate account as required by Regulation T is available for your inspection. Securities purchased on margin are Schwab's collateral for the loan to you. It is important that you fully understand the risks involved in trading securities on margin. These risks include: 1) You can lose more funds than you deposit in the margin account; 2) Schwab can force the sale of securities or other assets in any of your account(s) to maintain the required account equity without contacting you; 3) You are not entitled to choose which assets are liquidated nor are you entitled to an extension of time on a margin call; 4) Schwab can increase its "house" maintenance margin requirements at any time without advance written notice to you. **Market Price:** The most recent price evaluation available to Schwab on the last business day of the report period, normally the last trade price or bid as of market close. Unpriced securities denote that no market evaluation update is currently available. Price evaluations are obtained from outside parties. Schwab shall have no responsibility for the accuracy or timeliness of any such valuations. Assets Not Held at Schwab are not held in your Account or covered by the Account's SIPC account protection and are not otherwise in Schwab's custody and are being provided as a courtesy to you. Information on Assets Not Held at Schwab, including but not limited to valuations, is reported solely based on information you provide to Schwab. Schwab can neither validate nor certify the existence of Assets Not Held at Schwab or the accuracy, completeness or timeliness of the information about Assets Not Held at Schwab, whether provided by you or otherwise. Descriptions of Assets Not Held at Schwab may be abbreviated or truncated. Some securities, especially thinly traded equities in the OTC market or foreign markets, may not report the most current price and are indicated as Stale Priced. Certain Limited Partnerships (direct participation programs) and unlisted Real Estate Investment Trust (REIT) securities, for which you may see a value on your monthly Account statement that reflects the issuer's appraised estimated value, are not listed on a national securities exchange, and are generally illiquid. Even if you are able to sell such securities, the price received may be less than the per share appraised estimated value provided in the account statement. **Market Value:** The Market Value is computed by multiplying the Market Price by the Quantity of Shares. This is the dollar value of your present holdings in your specified Schwab Account or a summary of the Market Value summed over multiple accounts. **Non-Publicly Traded Securities:** All assets shown on this statement, other than certain direct investments which may be held by a third party, are held in your Account. Values of certain Non-Publicly Traded Securities may be furnished by a third party as provided by Schwab's Account Agreement. Schwab shall have no responsibility for the accuracy or timeliness of such valuations. The Securities Investor Protection Corporation (SIPC) does not cover many limited partnership interests. **Schwab Sweep Money Funds:** Includes the primary money market funds into which Free Credit Balances may be automatically invested pursuant to your Account Agreement. Schwab or an affiliate acts and receives

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< Accounts

# Rollover IRA

Brokerage: 249015060 · Routing number ⓘ

Q META

As of Dec-03-2024 9:07 AM ET ↺

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01/03/2023 - 01/02/2024

Transfers

History

Orders

More filters

▼ January 3, 2023 - January 2, 2024

Date	Description	Amount	Cash Balance
▼ Jan-20-2023	TRANSFER OF ASSETS ACAT RECEIVE META MATERIALS INC COM ISIN US59134N... (59134N104) (Cash)	+\$31,500.00	\$1,195.55

Date	Jan-20-2023
Symbol	59134N104
Symbol description	META MATERIALS INC COM ISIN US59134N1046 SEDOL BKSCVX7 1 FOR 100 R/S INTO META MATERIALS INC
Type	Shares
Shares	+30,000.000
Value	\$31,500.00 closing market value on Jan-20-2023

Don't see the activity you're looking for?

Track a rollover or transfer from another firm	>	View orders for new issue bonds	>
See activity from over 5 years ago in Documents	>	Reassign the lots for an unsettled trade	>
See cost basis information in Positions	>	Status bid requests for bonds and CDs	>
View Your Commissions & Price Improvement Summary	>		

All transaction detail provided reflects the local currency of the security, as identified by the currency abbreviation.

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Performance data shown represents past performance and is no guarantee of future results. Investment return and principal value will fluctuate, so investors may have a gain or loss when shares are sold. Current performance may be higher or lower than what is quoted. Click on a security for most recent month-end performance.



## Activity & Orders

# Rollover IRA

Brokerage: 249015060 · Routing number

As of Dec-03-2024 9:11 AM ET



Year to date

Orders

History

Transfers

More filters

### ▼ Year to date

Date	Description	Amount	Cash Balance
▼ Aug-09-2024	YOU SOLD META MATERIALS INC COM NEW ISIN #US5... (MMATQ) (Cash)	+\$252.00	\$12,847.45
Date	Aug-09-2024		
Symbol	<u>MMATQ</u>		
Symbol description	META MATERIALS INC COM NEW ISIN #US59134N3026 SEDOL #BQ67J98		
Type	Cash		
Shares	-300.000		
Price	\$0.84		
Amount	\$252.00		
Settlement date	Aug-12-2024		
▼ Jan-29-2024	REVERSE SPLIT R/S FROM 59134N104#REOR M0051559130001 META MATERIALS INC COM NEW ISIN #US5... (MMATQ) (Cash)	+\$1,653.00	\$7,762.64
Date	Jan-29-2024		
Symbol	<u>MMATQ</u>		
Symbol description	META MATERIALS INC COM NEW ISIN #US59134N3026 SEDOL #BQ67J98		
Type	Shares		
Shares	+300.000		
Value	\$1,653.00 closing market value on Jan-29-2024		
▼ Jan-29-2024	REVERSE SPLIT R/S TO 59134N302#REOR M0051559130000 META MATERIALS INC COM ISIN US59134N... (59134N104) (Cash)	-\$1,746.00	\$7,762.64
Date	Jan-29-2024		
Symbol	59134N104		
Symbol description	META MATERIALS INC COM ISIN US59134N1046 SEDOL BKSCVX7 1 FOR 100 R/S INTO META MATERIALS INC		
Type	Shares		
Shares	-30,000.000		
Value	-\$1,746.00 closing market value on Jan-29-2024		